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| RIA advice sought from: |  | | |
| All staff and students must consult a [**Research Integrity Advisor**](http://our.murdoch.edu.au/Research-Ethics-and-Integrity/Research-integrity/Research-integrity-advisors/) before submitting this form. | | |
| External Applicant.  *If you are not a Murdoch University staff or student, you may wish to consult the* [*Australian Code for the Responsible Conduct of Research*](https://www.nhmrc.gov.au/sites/default/files/documents/attachments/grant%20documents/The-australian-code-for-the-responsible-conduct-of-research-2018.pdf) *and its accompanying* [*Guide*](https://www.nhmrc.gov.au/sites/default/files/documents/reports/guide-managing-investigating-potential-breaches.pdf)*.* | | |
|  |  | |  |
| Your details (allegations may be submitted anonymously and will be investigated based on the evidence provided in this form). | | | |
| Name: |  | | |
| Position: |  | | |
| College/Institution: |  | | |
| Email: |  | | |
| Phone: |  | | |
|  | | | |
| **Alleged breach(es)** | | | |
| **Please tick the box (or boxes) that applies to your allegation from the list below. If uncertain, please see a** [**Research Integrity Advisor**](http://our.murdoch.edu.au/Research-Ethics-and-Integrity/Research-integrity/Research-integrity-advisors/) **before submitting this form.** | | | |
| **Not meeting required research standards** |  | Conducting research without ethics approval as required by the *National Statement on Ethical Conduct in Human Research* and the *Australian Code for the Care and Use of Animals for Scientific Research*. | |
|  | Failing to conduct research as approved by an appropriate ethics review body. | |
|  | Conducting research without the requisite approvals, permits, or licences. | |
|  | Misuse of research funds. | |
|  | Concealment or facilitation of breaches (or potential breaches) of the *Code* by others. | |
| **Fabrication, falsification, misrepresentation** |  | Fabrication of research data or source material. | |
|  | Falsification of research data or source material. | |
|  | Misrepresentation of research data or source material. | |
|  | Falsification and/or misrepresentation to obtain funding. | |
| **Plagiarism** |  | Plagiarism of someone else’s work, including theories, concepts, research data and source material. | |
|  | Duplicate publication (also known as redundant or multiple publication, or self-plagiarism) without acknowledgment of the source. | |
| **Research data management** |  | Failure to appropriately maintain research records. | |
|  | Inappropriate destruction of research records, research data and/or source material. | |
|  | Inappropriate disclosure of, or access to, research records, research data and/or source material. | |
| **Supervision** |  | Failure to provide adequate guidance or mentorship on responsible research conduct to researchers or research trainees under their supervision. | |
| **Authorship** |  | Failure to acknowledge the contributions of others fairly. | |
|  | Misleading ascription of authorship including failing to offer authorship to those who qualify or awarding authorship to those who do not meet the requirements. | |
| **Conflict of interest** |  | Failure to disclose and manage conflicts of interest. | |
| **Peer review** |  | Failure to conduct peer review responsibly. | |
| **Other** |  | Please specify: | |
| **Please provide name of funder (e.g NHMRC/ARC) if allegation involves funded work.** | | | |
|  |  |  | |
|  |  |  | |
| **Please provide as much detail as possible in regard to the allegation in the boxes below.** | | | |
| Who is alleged to have conducted the breach, when and where? |  | | |
| Detail the nature of the alleged breach. |  | | |
| Detail any action you have taken following discovery of the breach in research integrity, before completing this form. |  | | |
| Attach all supporting evidence – provide a list of the evidence provided here. |  | | |

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| **Suggested Evidence** |
| **Please ensure the allegation is supported by as much written evidence as possible. Evidence may include emails, copies of documents, invoices or anything that may substantiate your allegation. Some examples of relevant evidence are listed below.** |

Evidence of a lack of ethics approvals, permits or licences for the research.

Evidence that project amendments were made without required approvals.

Evidence of a failure to keep or retain adequate laboratory/research notes.

Evidence that research funds were not transparently recorded and/or receipts were not available on request.

Evidence that data collection commenced before required approvals were obtained.

Evidence of funding being used for non-approved or inappropriate purposes.

International collaborative research did not adhere to Australian research standards: necessary permits, licences, approvals, agreements, conflict of interest disclosures or management of confidentiality issues not available.

Evidence of a lack of records, such as notebook entries, or signed participant consent forms to verify that alleged experiments were conducted and/or results were obtained.

Evidence that the reported number of participants and/or samples does not match the reported results.

Evidence that the original and reported images showed different results.

Evidence that publications and/or grants cited in job and/or grant applications do not exist.

Evidence of statistical analyses indicating that the reported results were not possible.

Evidence that a publication does not include proper citation.

Evidence of duplicated publications that did not acknowledge the source.

Evidence demonstrating plagiarism – details of original material and how/where the material has been plagiarised.

Evidence of incomplete or inaccurate records.

Evidence of a discrepancy between data records and data reported in publications.

Evidence of a breach in secure access to data.

Evidence that a researcher did not participate in required training.

Evidence showing breach of written agreements.

Evidence that an agreement to co-author was not honoured.

Evidence of failure to adequately disclose or manage conflict of interest.

Evidence of failure to appropriately acknowledge funding source.

Evidence that a researcher failed to participate responsibly in peer review.

**Please submit your completed form to** [**DesignatedOfficer@murdoch.edu.au**](mailto:DesignatedOfficer@murdoch.edu.au?subject=Research%20Integrity%20Allegation)**.**